

# CROMWELL TRAN SUSTAINABLE FOCUS FUND

## ▶ A Performance-Oriented ESG Growth Strategy

At Cromwell, we believe rigorous research and active stock selection are critical in today's constantly changing investment landscape, particularly when seeking high-quality ESG-oriented companies.

## ▶ 3 Reasons to Consider the Cromwell Tran Sustainable Focus Fund:

### 1. Finding Sustainable Growth at Attractive Prices

The portfolio managers at Tran Capital scour the universe across market capitalizations and sectors for exceptionally managed, well-run companies that offer long-term growth potential.

These companies demonstrate sustainable earnings growth, competitive advantages, and high growth potential. The Fund seeks to purchase these companies at what the portfolio management team considers to be reasonable prices.

### 2. Applying an ESG Framework

First and foremost, the Fund's holdings need to have the characteristics and competitive advantages to produce superior returns over time. Then an extensive ESG evaluation is applied.

Given its concentrated approach, Tran Capital can critically analyze each company's business and chart their ESG progress.

Tran Capital defines sustainable securities as those that score 3 or higher on its internal 5-point ESG scale.

In ranking a company's ESG criteria, Tran Capital considers the following:

- » The **external** impact of a company's product or service
- » The company's **internal** policies, controls, and interactions with shareholders, employees, and other stakeholders.

Tran Capital does not employ negative screening and will consider all companies in all industries for the portfolio.



*Cromwell Tran Sustainable Focus Fund became the successor fund to the Tran Capital Focused Fund on August 8, 2022.*

CLASS	INCEPTION	TICKER
Investor	9/6/07	LIMAX
Institutional	9/6/07	LIMIX

## Fund Facts

Asset Class: **Large Growth**

Net Assets: **\$37.8M**

Benchmark: **S&P 500 Index**

Active Share: **84.4%**

Holdings: **21**

## Sub-Advisor



Tran Capital Management is a research-driven, growth-oriented asset management firm with an ESG orientation. Founded in 1974, Lateef Investment Management, L.P., was the predecessor firm before Chief Investment Officer Quoc Tran and President Eric Winterhalter led a buyout.

### PORTFOLIO MANAGERS



**Quoc Tran**  
Chairman and Chief Investment Officer



**Michael Im, CFA**  
Co-Portfolio Manager and Director of Research

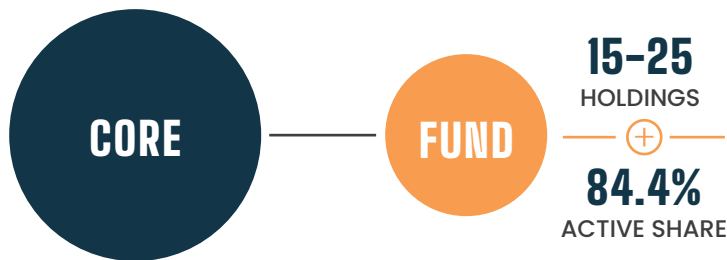
### 3. A High Conviction, High Active Share Portfolio

The Fund is actively managed with an intensive research process focused on a concentrated number of companies.

As such, the portfolio management team selects only those companies they believe have the most favorable long-term appreciation potential. In this way, the Fund can serve as a high-conviction, alpha-generating complement to a core equity strategy.

#### Cromwell Tran Sustainable Focus Fund:

A Satellite Position to a Core Equity Strategy



## About Cromwell Funds

Headquartered in Baltimore, MD, the Cromwell Funds provide Financial Advisors with high-quality, differentiated and actively managed strategies in a mutual fund format. The Funds are sub-advised by asset managers who have built a national reputation managing their investment strategies.

#### Cromwell Funds' Sub-Advisors:

- » CenterSquare Investment Management
- » Marketfield Asset Management
- » Tran Capital Management

## Contact Us

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**Investors should carefully consider the Fund's investment objectives, risks, charges and expenses before investing. For this and other information, please call 855.625.7333 or visit [thecromwellfunds.com](http://thecromwellfunds.com) for a prospectus. Read it carefully before investing or sending money.**

Mutual fund investing involves risk. Principal loss is possible. The Fund normally invests its assets in the common stocks of approximately 15 to 25 mid- and large-cap companies with a sustainable competitive advantage. In addition, the Fund may from time to time purchase a common stock that does not meet this criteria if, in the investment advisor's opinion, the stock represents a particularly attractive investment opportunity. While most assets will be invested in U.S. common stocks, other securities may also be purchased in keeping with the Fund's investment objectives. The Fund is non-diversified, which means that a significant portion of the Fund's assets may be invested in the securities of a single or small number of companies and/or in a more limited number of sectors than a diversified mutual fund. The Fund is subject to greater risk and could fluctuate in value more than other mutual funds diversified across a greater number of securities and industries. The Sub-Adviser's use of its ESG framework could cause it to perform differently compared to funds that do not have such a policy. The criteria related to this ESG framework may result in the Fund forgoing opportunities to buy certain securities when it might otherwise be advantageous to do so, or selling securities for ESG reasons when it might be otherwise disadvantageous for it to do so.

Active Share measures the percentage of stock holdings in a fund that differ from a benchmark. Alpha is the excess return of an investment relative to the return of a benchmark index. ESG refers to environmental, social and corporate governance.

The S&P 500 Index is a capitalization-weighted index of 500 stocks. An investment cannot be made directly into an index.

Cromwell Funds distributed by Foreside Fund Services, LLC.